Baltic Exchange Information Services Ltd (Baltic)

Customer Declaration Form

For

Non-Display Usage (NDU)

1. Overview

This document is a required declaration for data vendor customers to specify their Non-Display Usage (NDU) of Baltic Data, with the purpose of a direct billing arrangement with the Baltic Exchange (Baltic). This document should be read alongside the Baltic Data Policy which can be found at Data Policy (balticexchange.com)

All completed and signed declarations for existing customers should be returned to ikureksmith@balticexchange.com by 1 June 2023

Charges and Billing
Market Data charges of £350 per month are payable for Market Data based on each Non-Display Application, per Market Datafeed site.
Billing frequency is annually in advance and will commence on 1 July 2023. New customers will be charged pro rata

Reporting per Non-Display Application
The existing data vendor customer shall complete and submit to Baltic the initial declaration before 1 June 2023. Subsequent declarations will be submitted annually by 1 July. New customers must complete the declaration prior to receiving the datafeed. The customer shall use its best endeavors to ensure the completeness and accuracy of all the information contained in each report

2. Customer Details

Company Name	
Company Address	
Contact Name	
Contact Position	
Contact Number	
Contact Email	

3. Invoice Details (if different to section 2)

Company Name	
Company Address	
Contact Name	
Contact Position	
Contact Number	
Contact Email	

4. Usage Declaration

Please input the details of your non display usage in table below

Data Source	ND Application name	Datafeed Site location	Usage type

Examples of NDU applications

1. Algorithmic trading/Black Box Trading
2. Automated order/quote generation
3. Execution algorithms
4. Hedging applications
5. Internalisation Engines
6. Market making applications
7. Order Pegging
8. Program trading
9. Smart order routing
10. Fund administration
11. Portfolio valuation
12. Quantative analysis
13. Risk management

Example of Non-Display Usage reporting

Data Source	ND Application name	Datafeed Site location	Usage type
Refinitiv	Risk Register	London	Risk Management
Refinitiv	Risk Register	New York	Risk Management
ICE	Alpha Seeker	New York	Algorithmic trading
Bloomberg	Alpha Seeker	Singapore	Algorithmic trading

Datafeed site refers to each unique location of a company which accesses Baltic data via a market data feed

Customers should also be reminded that, in line with the Baltic Data Policy, section 4.2, index creation or derived data creation is NOT permitted without first obtaining a separate license from the Baltic. Customers should contact ikureksmith@balticexchange.com for further information

5. For Acceptance

The data vendor customer acknowledges and agrees that Baltic shall charge, and the customer shall pay, for any Non-Display Usage

The customer undertakes to promptly inform Baltic of any changes to the information given above

The customer agrees that the charges applicable to the above mentioned usage types shall be billed by Baltic directly to the customer and paid by the customer directly to Baltic

upon receipt of the relevant invoice from Baltic, in accordance with the terms provided on the relevant invoice

Signed by:

Position:

For and on behalf of:
(name of company)